IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :

R. BRUCE WALLACE : Group Art Unit:
  :
Filed: December 24, 1992 : Examiner:
  :
Serial No.: 07/996,771 :
  :
FOR: LIGATION AMPLIFICATION OF :
      NUCLEIC ACID SEQUENCES :

LETTER OF TRANSMITTAL

Honorable Commissioner of
   Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed for filing in the subject application are:

1. Declaration signed by applicant.

2. Verified Statement Claiming Small Entity Status and
    Request for Refund.

3. Assignment and Recordation Form Cover Sheet.

4. Form PTO-1533 dated February 11, 1993.

5. Check in the amount of $105 representing $40.00 to
    record the Assignment and $65 surcharge for late
    submission of Declaration.

Please charge any additional fees to Deposit Account
09-0948. A duplicate copy of this Letter of Transmittal is
attached.

Respectfully submitted,

Edward S. Irons
Registration No. 16,541
919 18th Street, N.W.
Suite 800
Washington, D.C. 20006
(202) 785-6938

Dated: March 8, 1993

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :

R. BRUCE WALLACE   :   Group Art Unit:

Filed: December 24, 1992   :   Examiner:

Serial No.: 07/996,771   :

FOR: LIGATION AMPLIFICATION OF :
       NUCLEIC ACID SEQUENCES   :

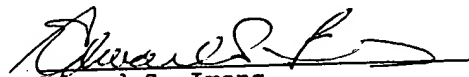## TRANSMITTAL OF SMALL ENTITY DECLARATION AND REQUEST FOR REFUND

Honorable Commissioner of
   Patents and Trademarks
Washington, D.C. 20231

Sir:

Attached is the "Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R. §§1.9(f) and 1.27(c))--Nonprofit Organization" executed on February 25, 1993 by the owner of the above application (Assignment filed herewith).

Pursuant to 37 C.F.R. §1.28(a), a refund of $558 from the $1,116 paid on December 24, 1992 for the filing fee of this application is hereby requested. Please credit the refund to Deposit Account 09-0948. A duplicate copy of this authorization is attached.

Respectfully submitted,

Edward S. Irons
Registration No. 16,541
919 18th Street, N.W.
Suite 800
Washington, D.C. 20006
(202) 785-6938

Dated: March 8, 1993

$ 65.00   205   H/N

UNITED STATES DEPARTMENT F COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY DOCKET NO./TITLE
07/996,771	12/24/92	WALLACE	R

EDWARD S. IRONS
919 18TH STREET, NW
STE. 800
WASHINGTON, DC 20006

0000

DATE MAILED: 02/11/93

NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a

☑ large entity, ☐ small entity (verified statement filed), is $ _____ .

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity

   ☐ small entity, must submit $ _____ to complete the basic filing fee and MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.

2. ☑ Additional claim fees of $ _____ as a ☑ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. NO SURCHARGE IS REQUIRED FOR THIS ITEM.

3. ☑ The oath or declaration:

   ☑ is missing.
   ☐ does not cover items omitted at time of execution.

   An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW..

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

   _____. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of $ _____ under 37 CFR 1.17(k), unless this fee has already been paid. NO SURCHARGE IS REQUIRED FOR THIS ITEM.

8. ☐ A $ _____ processing fee is required for returned checks. (37 CFR 1.12 (m)).

9. ☐ Your filing receipt was mailed in error because check was returned without payment.

10. ☐ Other.

   An Application Number and Filing Date have been assigned to this application. The missing parts and fees identified above in items 1 and 3-6 must be timely provided ALONG WITH THE PAYMENT OF A SURCHARGE of $ _____ for large entities or $ _____ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE of this application, WHICHEVER IS LATER, within which to file all missing parts and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provision of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to ATTENTION: Application Processing Division, Special Processing and Correspondence Branch.

*A copy of this notice **MUST** be returned with response.*

_____
For: Manager, Application Processing Division
(703) 308-1202

1 205   65.00 CK